

# Data Structures and Algorithms

Lecture 30

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## 1 Agenda

### 1.1 Single Source Shortest Paths

- Edge-weighted graphs, problem definition

- Optimal substructure, relaxation
- Dijkstra's algorithm: definition and example
- Time complexity

## 2 Edge-Weighted Graphs

A **weighted directed graph**  $G = (V, E, w)$  where  $w : E \rightarrow \mathbb{R}$ .

The **weight of a path**  $p = \langle v_0, v_1, \dots, v_k \rangle$ :

$$w(p) = \sum_{i=1}^k w(v_{i-1}, v_i).$$

**Shortest-path weight** from  $s$  to  $v$ :

$$\delta(s, v) = \begin{cases} \min\{w(p) : s \xrightarrow{p} v\} & \text{if } v \text{ is reachable from } s, \\ \infty & \text{otherwise.} \end{cases}$$

A **shortest path** from  $s$  to  $v$  is any path  $p$  with  $w(p) = \delta(s, v)$ .

### 2.1 Single Source Shortest Paths (SSSP)

**Input:** Weighted directed graph  $G = (V, E, w)$ , source vertex  $s \in V$ .

**Output:**  $\delta(s, v)$  and a shortest path, for every  $v \in V$ .

## 3 Optimal Substructure

### 3.1 Lemma

Subpaths of shortest paths are shortest paths.

**Formally:** If  $p = \langle v_0, \dots, v_k \rangle$  is a shortest  $v_0$ -to- $v_k$  path, then for any  $0 \leq i \leq j \leq k$ , the subpath  $\langle v_i, \dots, v_j \rangle$  is a shortest  $v_i$ -to- $v_j$  path.

### 3.2 Proof

Cut-and-paste: if a shorter path  $q$  from  $v_i$  to  $v_j$  existed, replacing  $p_{ij}$  with  $q$  in  $p$  gives a shorter  $v_0$ -to- $v_k$  path — contradiction. ■

### 3.3 Triangle Inequality

$$\delta(s, v) \leq \delta(s, u) + w(u, v) \quad \text{for every } (u, v) \in E.$$

## 4 Relaxation

Each vertex  $v$  stores an estimate  $v.d$  (upper bound on  $\delta(s, v)$ ) and a predecessor  $v.\pi$ .

INITIALIZE-SINGLE-SOURCE( $G, s$ )

1. for each  $v$  in  $G.V$
2.      $v.d = \text{infinity}$
3.      $v.\pi = \text{NIL}$
4.      $s.d = 0$

RELAX( $u, v, w$ )

1. if  $v.d > u.d + w(u, v)$
2.      $v.d = u.d + w(u, v)$
3.      $v.\pi = u$

RELAX checks: "Can I improve the estimate for  $v$  by routing through  $u$ ?"

All SSSP algorithms reduce to choosing which edges to relax and in what order.

### 4.1 Upper-Bound Property

After any sequence of RELAX calls:  $v.d \geq \delta(s, v)$  for all  $v$ . (RELAX only sets  $v.d \leftarrow u.d + w(u, v) \geq \delta(s, u) + w(u, v) \geq \delta(s, v)$ .)

## 5 Dijkstra's Algorithm

**Assumption:** All edge weights are non-negative:  $w(u, v) \geq 0$  for all  $(u, v) \in E$ .

**Greedy strategy:** Maintain a set  $S$  of vertices with finalized shortest-path distances. Repeatedly extract the vertex  $u \notin S$  with the smallest estimate  $u.d$ , add it to  $S$ , and relax all edges leaving  $u$ .

DIJKSTRA( $G, w, s$ )

1. INITIALIZE-SINGLE-SOURCE( $G, s$ )
2.  $S = \{s\}$
3.  $Q = G.V$  // min-priority queue keyed by  $v.d$
4. while  $Q \neq \{s\}$
5.      $u = \text{EXTRACT-MIN}(Q)$
6.      $S = S \cup \{u\}$

7.       for each  $v$  in  $G.Adj[u]$
8.       RELAX( $u, v, w$ )

Analogy with Prim-Jarník MST: replace "minimum incident edge weight" by "minimum path-distance estimate  $u.d + w(u, v)$ ".

## 6 Example

Graph:  $V = \{s, t, x, y, z\}$  (CLRS Figure 22.6).

Edges:  $s \rightarrow t$  (10),  $s \rightarrow y$  (5),  
 $t \rightarrow x$  (1),  $t \rightarrow y$  (2),  
 $y \rightarrow t$  (3),  $y \rightarrow x$  (9),  $y \rightarrow z$  (2),  
 $x \rightarrow z$  (4),  
 $z \rightarrow x$  (6),  $z \rightarrow s$  (7)

Step	Extracted	$s.d$	$t.d$	$x.d$	$y.d$	$z.d$
Init	—	0	$\infty$	$\infty$	$\infty$	$\infty$
1	$s$	0	10	$\infty$	5	$\infty$
2	$y$	0	8	14	5	7
3	$z$	0	8	13	5	7
4	$t$	0	8	9	5	7
5	$x$	0	8	9	5	7

Final:  $\delta(s, s) = 0$ ,  $\delta(s, y) = 5$ ,  $\delta(s, z) = 7$ ,  $\delta(s, t) = 8$ ,  $\delta(s, x) = 9$ .

## 7 Time Complexity

Let  $n = |V|$ ,  $m = |E|$ . Same analysis as Prim-Jarník.

Operation	# Calls	Cost per call	Total
EXTRACT-MIN	$n$	$O(\log n)$	$O(n \log n)$
RELAX (DECREASE-KEY)	$\leq m$	$O(\log n)$	$O(m \log n)$

Using a binary min-heap:

$$\boxed{T_{\text{Dijkstra}} = O(m \log n)}$$

(For connected graphs  $m \geq n - 1$ , so the  $m \log n$  term dominates.)

## 8 Questions

- Trace Dijkstra's on the example above and draw the resulting shortest-path tree.
- How should Dijkstra's be adapted for undirected graphs with non-negative weights?
- What loop invariant does Dijkstra's maintain about the set  $S$ ?